

NEW EDITION OF THE REGULATION ON THE GRANT SUPPORT SYSTEM FOR SCIENTIFIC PROJECTS OF THE RUDN

1. General provisions

1.1. The new edition of the regulation on the grant support system of the RUDN (hereinafter – Regulations) establishes the structure of grant support system for scientific projects of the RUDN (hereinafter – System), the general procedure for the preparation, announcement and conduct of the System grant competitions and implementation of scientific projects supported by the results of the competitions.

1.2. The Regulations regulates the basic (general) rules for the implementation of the System's competitions. Detailed information about each competition is contained in its competition documentation (hereinafter – CD), which is an integral part of the order announcing (conducting) the competition.

1.3. The organizer of the System's competitions is the federal state autonomous educational institution of higher education "Peoples' Friendship University of Russia named after Patrice Lumumba" (hereinafter - RUDN or the University). Postal address of the Competition Organizer: Russian Federation, 117198, Moscow, Miklukho-Maklaya St., 6.

1.4. The RUDN Research Division (hereinafter – RD) coordinates the System's competitions.

1.5. The aim of the System is financial and organizational support for fundamental and applied scientific researches, contributing to the formation and development of a research culture at the University, the implementation of both local university and state scientific and technical policy. Целью Системы являются финансовая и организационная поддержка. The System is based on the principles of granting scientists the right of creativity freedom, choice of research directions and methods.

1.6. RUDN supports fundamental and applied scientific research, including those aimed at developing the University's infrastructure and human resources, creating sustainable industrial and academic ties, including international ones, and conducts competitions in priority directions of scientific and technological development of Russian Federation, as well as priority scientific areas of RUDN.

1.7. All types of support for scientific research carried out by scientists or research teams are conducted on a competitive basis, regardless of academic title or position held by scientists of the status of research teams.

1.8. The amount of funding and the timing of announcement and conducting of competitions are set depending on the internal and external economic situation and are approved by the order announcing each competition separately.

2. The structure of grant support system of the RUDN

2.1 The System is aimed at achieving the goals of the Strategy of Scientific and Technological Development of Russia for the period up to 2030 and at solving tasks of improving the quality of RUDN scientific researches, tasks of developing and integrating new technologies, innovative products and services that meet the world level, its commercialization, as well as creating favorable conditions for the development of innovative scientific activity in RUDN.

2.2 The principle of the System's implementation is to consistently solve tasks as their complexity increases, scientific team expands, new infrastructure facilities creates, and as a result projects are implemented at the interuniversity and industrial levels, including internationally.

2.3 The System is implemented by holding events in four directions, defined by the letters R, U, D, N. Each event includes a number of competitions that have stable logical and technological relationships both within one event and with competitions in other events.

2.3.1 Event R (Research grant) is aimed at expanding fundamental and applied scientific research in priority areas of scientific and technological development.

The event includes following competitions:

- R.1 Competition for R&D by young scientists;
- R.2 Competition of projects for the creation of the results of intellectual activity (RIA);
- R.3 Competition for R&D by leading world-class young scientists;
- R.4 Competition for R&D by RUDN postgraduates.

2.3.2 Event U (Update grant) is aimed at developing University's infrastructure. Within the framework of this event, the task of creating new and improving existing facilities of the University's scientific infrastructure is being solved in order to further implement research projects based on these facilities, including interdisciplinary ones, as well as create products and services for further use by participants in the real sector of the economy.

The event includes following competitions:

- U.1 Competition for the modernization of existing scientific infrastructure facilities.
- U.2 Competition for the creation of laboratories (engineering centers) for the implementation of scientific projects.
- U.3 Competition for the creation of world-class scientific infrastructure facilities, interdisciplinary research centers, and preacceleration centers;
- U.4 Competition for interdisciplinary R&D by scientific teams of the main educational and scientific units of the RUDN (RUDN Megagrant).

2.3.3 Event D (Diploma grant) is aimed at developing the University's human resources potential. The objective of this event is to create conditions for the implementation of scientific projects by research teams led by highly qualified specialists in various fields of scientific activity and to increase the number of world-

class research and educational staff employed at RUDN University on a permanent basis.

The event includes following competitions:

- D.1 Competition for R&D by research teams led by young scientists.
- D.2 Competition for R&D by research teams led by leading scientists.
- D.3 Competition for R&D by RUDN leading scientific schools.

2.3.4 Event N (Network grant) is aimed at creating sustainable industrial and academic ties, including international ones. The purpose of this event is to create collaborations between scientific and industrial partners, including in order to create consortia and integrate the results of the university's scientific activities into the production process.

The event includes following competitions:

- N.1 Travel grant for participation in STE, internships in Russian and abroad.
- N.2 Competition for joint implementation of projects with industrial partners in Russia and abroad.
- N.3 Competition for financial support of key Scientific and Technical Events (STE) of RUDN.
- N.4 Competition for the creation and development of expert and analytical centers of RUDN.

3. General provisions about the Grant support system competitions

3.1. R.1 Competition for R&D by young scientists.

3.1.1 The purpose of the competition is to attract young talented researchers with high-level scientific results to continue their scientific work and consolidation in the RUDN research team and the research community as a whole.

3.1.2. Young scientists (researchers) with an academic degree, whose age will not reach 39 years inclusive at the time of the completion the project, can participate in the competition as project managers.

3.1.3. The project implementation period is 2 years. The project is being implemented on the basis of RUDN units and existing infrastructure.

3.1.4. Based on the results of the successful implementation of the project, young scientist prepares an application for participation in the D.1 competition.

3.2. R.2 Competition of projects for the creation of the results of intellectual activity (RIA).

3.2.1 The purpose of the competition is to expand the range of scientific and applied research aimed at creating RIAs with prospects for commercial use in scientific and industrial activities both in the Russian Federation and abroad.

3.2.2 Research teams, including those led by young scientists who have experience in creating RIA, ready RIA and/or a project to create/improve RIA, as well as a project to commercialize it, can participate in the competition.

3.2.3 The minimum project implementation period is 2 years. The deadline for the completion of the project is approved by the order on the announcement of the competition.

3.2.4. The right holder of the RIA created as a result of the project is RUDN.

3.3 R.3 Competition for R&D by leading world-class young scientists.

3.3.1. The purpose of the competition is to attract world-class leading scientists with work experience in leading Russian and foreign universities and scientific organizations¹ to conduct R&D at RUDN consolidation in the RUDN research team, as well as expand the scientific activities of leading scientists who are current employees of the RUDN.

3.3.2. Leading scientists who meet the requirements, the full list of which is indicated in the order announcing the competition, and who have an individual scientific project with the prospect of obtaining a world-class breakthrough scientific result, can participate in the competition.

3.3.3. The project implementation period is 2 years.

3.3.4. The key condition for the implementation of supported projects is the active participation of a leading scientist in the life of the University as a whole (the criteria for participation are approved by the order announcing the competition).

3.4 R.4 Competition for R&D by RUDN postgraduates.

3.4.1 The purpose of the competition is to attract talented postgraduates of RUDN with high scientific potential to stimulate and support active scientific work and consolidation in the RUDN research team and the scientific community as a whole.

3.4.2 RUDN postgraduates with at least 2 years of full-time education who have a high-level individual scientific background can take part in the competition.

3.4.3 The basic project implementation period is 2 years, while the period can be reduced to one year upon termination of the project manager's postgraduate studies at RUDN.

3.4.4 The project should be implemented by a postgraduate independently, or in a research team consisting only of full-time postgraduates of RUDN.

3.4.5. If the postgraduate has defended his dissertation during the project implementation, upon completion of the project, he prepares an application for the R.1 competition - for R&D by young scientists in the case of the announcement of this competition for the year following the year of completion of the project.

¹ The list of organizations and the requirements for them to be designated as the leading one is determined by RUDN local documents.

3.5. U.1 Competition for the modernization of existing scientific infrastructure facilities.

3.5.1. The purpose of the competition is to update and/or improve the existing scientific infrastructure facilities of the RUDN, on the basis of which relevant scientific research is implemented, with high potential for both fundamental and applied commercially promising scientific significance.

3.5.2 Scientific teams, including those led by young scientists implementing scientific research on the basis of an existing RUDN scientific infrastructure facility and having a well-founded modernization plan for this facility, coordinated with the leadership of the main educational or scientific unit (hereinafter – MEU or RU), on the basis of which this scientific infrastructure facility is located, can participate in the competition.

3.5.3. The minimum project implementation period is 2 years. The deadline for the completion of the project is approved by the order on the announcement of the competition.

3.5.4. A key condition for the support and implementation of the project is the availability of co-financing from the MEU and/or RU (the amount of co-financing is approved by the order announcing the competition), the established part of the project budget should be allocated for the purchase of scientific equipment.

3.5.5 On the basis of one MEU and/or RU, more than 2 projects supported by this competition cannot be implemented simultaneously.

3.6. U.2 Competition for the creation of laboratories (engineering centers) for the implementation of scientific projects.

3.6.1. The purpose of the competition is to create new scientific infrastructure facilities at the RUDN for the implementation of fundamental and applied scientific projects, including interdisciplinary ones, as well as to develop close economic and scientific ties with major industrial partners and leading scientific and educational organizations both in the Russian Federation and abroad.

3.6.2 Research teams led by leading scientists who have a high-level prospective scientific research project, as well as stable academic and/or industrial ties with Russian and/or foreign educational and/or scientific organizations and/or organizations in the real sector of the economy can participate in the competition.

3.6.3. The project implementation period is 4 years.

3.6.4. A key condition for the implementation of the project is the obligation to attract co-financing from the partner organization and allocate the established part of the project budget for the purchase of scientific equipment. The scientific infrastructure facility should be located on the territory of the RUDN, and all its equipment should be on the balance sheet of the RUDN.

3.6.5 On the basis of one MEU and/or RU, more than 2 projects supported by this competition cannot be implemented simultaneously.

3.7. U.3 Competition for the creation of world-class scientific infrastructure facilities, interdisciplinary research centers, and preacceleration centers.

3.7.1 The purpose of the competition is to create interdisciplinary scientific or scientific and educational centers capable of implementing world-class applied and fundamental research and participating in joint international scientific projects with Russian and foreign educational and/or scientific organizations and/or organizations of the real sector of the economy.

3.7.2 Research teams led by leading scientists, with the attracting of world-class foreign scientists who have stable academic and/or industrial ties with Russian and foreign educational and/or scientific organizations and/or organizations in the real sector of the economy can participate in the competition.

3.7.3. The project implementation period is 4 years.

3.7.4 The key conditions for the implementation of the project are: the work of the laboratory / research center in scientific cooperation with foreign scientific / scientific-educational / industrial organizations in the framework of joint research projects and / or the creation of "mirror" scientific laboratories, the employment of invited foreign scientists at the RUDN University, the availability of external co-financing of the project in the amount stipulated by the order on the announcement of the competition

3.7.5 On the basis of one MEU and/or RU, more than 2 projects supported by this competition cannot be implemented simultaneously.

3.8. U.4 Competition for interdisciplinary R&D by scientific teams of the main educational and scientific units of the RUDN (RUDN Megagrant).

3.8.1 The purpose of the competition is to create new scientific infrastructure facilities in order to implement interdisciplinary applied and fundamental scientific projects to achieve breakthrough results and strengthen research ties between RUDN units.

3.8.2 The scientific teams of RUDN MEU and RU can participate in the competition, while RU projects can only be implemented jointly with MEU. Research teams can be formed by employees of several MEU and/or RU.

3.8.3 The project implementation period is 3 years.

3.8.4 The total financing of the project is carried out from the funds of RUDN MEU allocated for the implementation of research and development, the key condition for the implementation of the project is the priority expenditure of project financing from RUDN to create a material base for the implementation of the project (scientific equipment, consumables, etc.).

3.8.4 No more than one project can be submitted to the competition from each MEU (independently or jointly with another MEU and/or RU) (including a joint project with another MEU and/or RU). MEU and/or RU, whose project was supported by the

results of this competition, cannot participate in this competition until the end of all stages of the supported project, including in case of early termination of the project.

3.9. D.1 Competition for R&D by research teams led by young scientists.

3.9.1 The purpose of the competition is to attract young talented researchers with high-level scientific results to continue their scientific work and lead research teams at RUDN.

3.9.2. Scientific teams led by young scientists (researchers) with academic degrees, whose age will not reach 39 years inclusive at the time of the completion of the project, can take part in this competition. For the participants of the R.1 projects, whose projects have proven their prospect based on the results of the implementation, this competition is an essential continuation of their projects at a qualitatively new level.

3.9.3. The project implementation period is 2 years. The project is being implemented on the basis of RUDN units and existing infrastructure.

3.9.4. Based on the results of the successful implementation of the project, the team manager prepares an application for participation in the D.2 / U.1 / U.2 / U.3 / N.2 competitions.

3.10. D.2 Competition for R&D by research teams led by leading scientists.

3.10.1 The purpose of the competition is to attract world-class leading scientists with work experience in leading Russian and foreign universities and scientific organizations to conduct R&D at the RUDN as managers of research teams.

3.10.2. Research teams led by leading scientists who have a high-level promising scientific research project can participate in the competition.

3.10.3. The project implementation period is 2 years. The project is being implemented on the basis of RUDN units and existing infrastructure.

3.10.4. Based on the results of the successful implementation of the project, the team manager prepares an application for participation in the D.3 / U.1 / U.2 / U.3 / N.2 competitions.

3.11 D.3 Competition for R&D by RUDN leading scientific schools.

3.11.1. The purpose of the competition is to stimulate the qualitative growth of RUDN leading scientific schools, as well as to support R&D carried out by RUDN leading scientific schools.

3.11.2 Research teams of RUDN leading scientific schools, registered in accordance with the procedure established by the University, can participate in the competition.

3.11.3 The project implementation period is 2 years. The project is being implemented on the basis of RUDN units and existing infrastructure.

3.12. N.1 Travel grant for participation in STE, internships in Russian and abroad.

3.12.1 The purpose of the competition is to promote and popularize the RUDN University brand, establish external ties in the scientific and academic community, as well as with large scientific / scientific-educational and industrial organizations, through participation in STE held at the sites of these organizations

3.12.2 RUDN teaching staff and research and educational staff can participate in the competition.

3.12.3 Each applicant may submit no more than one application for one announced N.1 competition. At the same time, if the application is supported, the applicant can no longer submit applications for this competition until the end of the calendar year.

3.13. N.2 Competition for joint implementation of projects with industrial partners in Russia and abroad.

3.13.1 The purpose of the competition is to create stable ties with industrial partners for the implementation of applied R&D projects in order to bring the results of scientific research to a commercial level, establish production and integrate RIA into high-tech scientific, technical and production processes.

3.13.2 Research teams with experience in creating RIA, ready-made RIA, a project to create / improve and commercialize RIA, as well as having stable academic and/or industrial ties with Russian and/or foreign educational and/or scientific organizations and/or organizations in the real sector of the economy can participate in the competition.

3.13.3 The project implementation period is 4 years.

3.13.4 The key condition for the implementation of the project is the promise to attract co-financing from the partner organization, as well as the commercialization of RIA received as a result of the project.

3.13.5 On the basis of one MEU and/or RU, more than 2 projects supported by this competition cannot be implemented simultaneously.

3.14. N.3. Competition for financial support of key Scientific and Technical Events (STE) of RUDN

3.14.1. The purpose of the competition is to promote and popularize the RUDN University brand, establish external ties in the scientific and academic community, as well as with large scientific / scientific-educational and industrial organizations, through scientific and technical events at the University.

3.14.2 RUDN MEU teams, which include members of the STE organizing committee, can participate in the competition.

3.14.3 The STE criteria for confirming compliance with the "world-class" criterion are approved by local RUDN documents.

3.15 N.4 Competition for the creation and development of expert and analytical centers of RUDN

3.15.1. The purpose of the competition is to create and develop expert and analytical centers at RUDN, which carry out independent expert and analytical activities aimed at solving relevant issues of socio-economic development of the country and developing practical recommendations on RUDN scientific topics.

3.15.2. Research teams whose members have experience in expert work in the fields of RUDN research activity (experience in expert and analytical publications, appearances in the federal media, etc.) can participate in the competition.

3.15.3 The project implementation period is 4 years.

3.15.4 On the basis of one MEU and/or RU, more than 2 projects supported by this competition cannot be implemented simultaneously.

3.16 Each competition is announced by a separate order of the Rector (First Vice-Rector - Vice-Rector for Research). At the same time, competitions can be divided into scientific areas (natural science and technology, socio-humanitarian, mixed²) and in areas of solved tasks (applied, fundamental).

3.17 The results of the competition are equivalent to the results of the competitive selection conducted for the positions of researchers in accordance with the procedure established by the labor legislation of the Russian Federation.

3.18 Researchers can participate in competitions regardless of their citizenship and the existing employment relationship with RUDN³ (unless otherwise provided by the terms of the competition). This condition applies to managers and all members of the research team (if any).

3.19 The requirements for the project manager and members of the research team (if any) for each competition are established by the CD.

3.20 For projects implemented by research teams, the research team must include researchers under the age of 39, students and postgraduates of the RUDN University of full-time education, as well as researchers who do not have an academic degree. The requirements for the specified members of the scientific team and their number in the scientific team are established by the CD.

3.21 Each member of the research team may simultaneously participate in no more than 2 projects, and may be the manager of only 1 of them. This condition does not apply to the competition U.4⁴, N.1, N.3.

² For projects that combine socio-humanities with natural sciences or technical disciplines.

³ If the participant of the competition is not a current employee of RUDN University when submitting an application, in case of project support, he/she will be employed at RUDN University in accordance with the labor legislation of the Russian Federation and local documents of RUDN University.

⁴ Participation in this competition is counted above the established limit: an employee who is already a member of the research team of two projects implemented within the framework of the System can be included in the research team (including as a manager).

4. The procedure of preparation and announcement of competitions

4.1. The decision to announce the competition is made by the Steering Committee of the RUDN University Development Program "Priority 2030" (hereinafter – SC) or the Academic Council (hereinafter - AC) of RUDN, which instructs the RD to organize work on the procedure for holding the competition in the optimal terms, taking into account the actual technical and financial capabilities of the University.

4.2. The time frame for the preparation of the competition, including the development and approval of the CD and procedures, is no more than 2 weeks from the date of the decision to announce the competition (c. 4.1.).

4.3. The CD is prepared by the Scientific Department and submitted for approval to the Scientific and Technical Council (hereinafter – STC) of RUDN within 2 weeks from the date of the decision to announce the competition (c. 4.1) and contains the following detailed information about the competition: general information about the competition (general provisions), the dates of the competition and contact information on the rules for submitting applications and receiving clarifications, minimum requirements for the participants of the competition, requirements for the content of the application, including form templates in applications, rules for evaluating (examining) submitted applications, financing conditions of the project and the rules of spending funds, the conditions of the project implementation, the rules of employment of participants in supported projects, key performance indicators (KPI) of the project.

4.4. Based on the decision of the STC, RD prepares an order from the Rector or the First vice-rector - Vice-rector for Research on the announcement of a competition (competitions), an integral part of which is the prepared CD.

4.5. The approved text of the order with appendices is sent to the Vice Rector's Strategic Communications Service for posting on the official website.

4.6. The decision to change the terms or cancel the competition may be made by RUDN the STC/SC/AC. Information about changes in the terms or cancellation of the competition is published on the official RUDN website.

4.7. If the terms of the competition are changed or canceled before the results are approved at the STC/SC/AC meeting, the preliminary results of the project review are sealed in envelopes and stored in accordance with the procedure established at the University until demand (in case of cancellation of the competition) or for reconsideration (in case of changes in the terms of the competition). Applicants are informed about the cancellation of the competition or about changes in the terms of the competition. Upon receipt of a notification from the applicants about their consent to participate in the competition on new terms, the applications will be sent for reconsideration. If the contest is cancelled, the received applications will not be returned.

5. Competition procedure

5.1. Registration and submission of applications

5.1.1 For all competitions, only fully completed applications (projects) are accepted for consideration in accordance with the requirements of the competition in printed (original) and electronic forms, signed by the project manager, heads of structural units of RUDN⁵.

5.1.2. A complete application form is submitted electronically, containing electronic images of all necessary documents specified in the CD, in PDF format. An shortened application form is submitted in printed copy, containing the originals of the documents specified in the CD.

5.1.3. Applications are accepted by RD within the time limits set by the order on the announcement (conducting) of the competition. Only applications that comply with the registration rules specified in the CD are accepted for consideration.

5.1.4. Not allowed to participate in the competition:

- projects whose design does not meet the requirements of the CD (including if the project does not contain the information specified as mandatory);
- projects, the originals or electronic forms of which were received by the Scientific Department after the deadline for submitting projects to the competition;
- projects, the name and content of which completely coincide with the name and content of any R&D project carried out at the University and/or funded from other sources⁶ (grants from scientific foundations, etc.).

5.1.5. It is forbidden to submit a project supported by any competition in the future to any competitions (including external ones: grants from scientific foundations, etc).

5.1.6. The projects (applications) submitted for the competition will not be returned. It is not allowed to replace pages or make changes to the text of the submitted project.

5.1.7 In case of differences in the content of the documents submitted in the paper (original) and electronic versions of the applications, priority is given to the content indicated in the paper version.

5.1.8. After the application is signed by the manager, changes and additions to the application (project), as well as the withdrawal of the application from the competition, are accepted only in the form of a reasoned written statement by the project manager. If the refusal to implement the project was received after the results of the competition were summed up, the decision to review the results of the competition is made at a meeting of the RUDN AC/SC.

5.1.9. All applications admitted to the competition are checked for compliance with the requirements of the CD⁷, which is conducted by RD. The list of projects that meet the requirements of the CD is approved by the STC.

⁵ For contests where this requirement is set by the CD.

⁶ The responsibility for fulfilling this condition lies with the project manager.

⁷ Compliance with the design rules, specifying all necessary project parameters (including KPI), compliance of the manager and the research team (if any) with the minimum established requirements, availability of necessary additional documents (if provided), etc.

5.1.10 Projects that meet the requirements of the CD according to the decision of the NTS are sent for examination.

5.2. Evaluation and examination of applications

5.2.1 Project expertise (assessment of high-quality scientific content) envisages for two procedures: involving external experts and internal university expertise. The choice of the procedure for conducting an expert assessment is based on the complexity of the competition, the amount of its financing from the RUDN University and is approved in the CD.

5.2.2 The external examination of the project is carried out by independent external experts from among the members of the RUDN International Scientific Councils (hereinafter – ISC) and/or experts of the Russian Academy of Sciences. Also, as an independent expert, a scientist in the field of the subject of the application may be involved, who fits the "leading" criterion according to the local documents adopted at RUDN. An external expert cannot be an employee of RUDN University, including those who are employed remotely. The number of external experts involved to evaluate a single project can range from 2 to 4 people, depending on the complexity of the competition.

5.2.3 The internal university examination is carried out by the RUDN STC on the basis of data received from the RD based on the results of the verification of applications (projects) for compliance with the requirements of the CD. The experts may include members of the STC who are specialists in the subject of the projects under consideration, or other RUDN staff who are qualified in the field of scientific research specified in the project and are not related to the project manager and his research teams (if any) in general scientific activities.

5.2.4 The list of criteria for evaluating a scientific project by experts is approved in the CD. The submission of projects for examination (external or internal), as well as the collection of expert opinions, is carried out by RD within the time limits set by the order on the announcement (conducting) of the competition.

5.2.5 The evaluation of applications submitted to the competition is carried out by the STC, taking into account the expert opinions, which are advisory in nature.

5.2.6 For applications submitted by the staff of the MEU, if necessary, the opinion of the AC of the MEU, on the basis of which the project is planned to be implemented, may be taken into account.

5.2.7 Evaluation of applications by NTS, if this condition is specified in the CD, can be carried out by an expanded team with the invitation of the managers of the evaluated projects, members of the RUDN SC/AC, the rector's office, heads of the RUDN MEU and/or RU, on the basis of which projects are planned to be implemented if they are supported by the results of the competition. In this case, within the framework of this meeting, project managers present their project and provide explanations on its content.

5.2.8 The competence of the STC includes the consideration and selection of applications, as well as the formation of proposals on the required amount of funds for the implementation of projects specified in the application, taking into account the allocated funding. The decision of the STC is made by a simple majority of votes. If the votes of the STC members are equal, the vote of the Chairman of the STC is decisive. The decisions of the STC are formalized by a protocol, which is signed by the chairman and secretary of the STC.

5.2.9 The final list of supported projects and the amount of their financing, no later than 20 calendar days after the deadline for accepting applications, are approved by the decision of the AC/SC on the recommendation of the STC and approved by the order of the first Vice-Rector - Vice-Rector for Research. Information about the winners is published on the University website.

6. Implementation of supported projects

6.1. Financing conditions

6.1.1. The University provides financing for supported projects by providing funds in accordance with the decisions of the RUDN University AC/SC, depending on the economic situation. The projects are financed according to the approved schedule and project estimates within the approved budget.

6.1.1 The managers of the supported projects, on the basis of the order of the winners of the competition, open the topic of R&D for the implementation of the project in accordance with the procedure established at the University. The R&D topic is opened for a period of one stage (year) of the project implementation and is extended based on the results of the KPI of the current stage based on the decision to continue financing the project for the next period, accepted by the STC on the basis of expert review of annual scientific and financial reports.

6.1.3. The University has the right to unilaterally review the amount of project financing in case of failure to meet the performance indicators of the project/project stage based on the decision of the SC/AC. In this case, the RD informs the project managers about the revision of the amount of funding for the project activities.

6.1.4 The amount of annual project financing may be changed by the decision of the SC/AC of the RUDN, up to the termination of funding if the University's funds are insufficient to fulfill its obligations to finance the project. In case of termination of funding if the University's funds are insufficient to fulfill its obligations, the current stage of the project implementation is recognized as final, and the project manager/research team is relieved of obligations to fulfill the KPI provided for in the following stages.

6.1.5. In case of non-fulfillment of the KPI of project, SC/AC may make a decision in relation to the MEU and/or RU, on the basis of which the project was implemented, to return the funds (or part of them) to the Centralized Fund of RUDN.

6.1.6. If circumstances arise that prevent the project manager from performing his functions, the expediency and conditions for continued financing of work on this project are considered. The decision to replace the project manager is made by the SC based on the conclusion of the STC.

6.2. Additional conditions

6.2.1 Projects are implemented on the basis of the RUDN, all equipment purchased as part of the project implementation must be located on the territory (indoors) of the RUDN, and placed on the balance sheet of the University, except in cases where this is provided for by the terms of the competition.

6.2.2 The project Manager undertakes to inform the RD about the results of work on the project that have signs of patentability, commercial use or defense (dual) use. The rights to objects of industrial and (or) intellectual property obtained during the implementation of the project at the expense of the university are implemented in accordance with the local regulations of the University and the legislation of the Russian Federation in force in this area.

6.3. Conditions for the continuation of work on the project

6.3.1. When submitting a project to the competition, the manager determines the deadline for its completion in accordance with the project calendar plan, which cannot exceed the deadlines for the completion of projects approved by the order announcing the competition, and cannot be subsequently extended. The decision to continue financing the project for the next period (within the specified deadline) is made by the STC on the basis of expert review of annual scientific and financial reports.

6.3.2 The expert evaluation of the annual scientific reports is carried out by the STC with the involvement of members of the ISC of the RUDN and/or experts of the Russian Academy of Sciences. Also, as an independent expert, a scientist in the field of the subject of the application may be involved, who fits the "leading" criterion according to the local documents adopted at RUDN University.

6.3.3. Timely submission by the project manager of reports on each stage and the final report (including in case of early completion of the project) is a necessary condition. In case of a negative expert opinion on the report, project financing may be terminated prematurely on the basis of a decision of the SC/AC upon submission of an expert opinion approved by the STC. The decision of the SC/AC is final. Claims based on the results of the review of the reports will not be accepted.

6.3.4. Project managers who have not submitted reports on time, as well as managers of those projects whose funding was terminated prematurely due to a negative expert opinion on the report or due to non-compliance with KPIs, are deprived of the right to participate in RUDN competitions as a manager. The term of deprivation is established by the decision of the RUDN SC/AC.

7. Project reporting

7.1. Project managers should submit interim (semi-annual/annual/stage report) and/or final reports to the RD in accordance with the established forms.

7.2. Preparing reports.

7.2.1. Reports in the GOST form, drawn up in accordance with the requirements of the CD, are accepted for consideration only upon confirmation of the availability of a CITIS card on the subject of the project.

7.2.2. The reports are subject to independent scientific and economic expertise, including with the involvement, if necessary, of the members of the ISC.

7.2.3. Materials from the final reports on completed projects, if necessary, are published in the author's edition on the official RUDN website.

8. The main key performance indicators of projects and the rules for their accounting

8.1 List and KPI values (quantitative indicators) of the projects of each competition of the System is approved by the order on the announcement (conducting) of the competition.

8.2 Publication of research results.

8.2.1 The research results are published in journals and collections of articles indexed in the Web of Science Core Collection (WoS) and/or Scopus scientific citation databases in quartiles 1 and 2. The rules for calculating the quartile (applicable metrics, etc.) are approved in the CD. The list of recommended scientific journals for the publication of scientific research is annually approved by the RD.

8.2.2 Publications should contain references to the RUDN University support program, which provides funding for projects implemented following the results of the competition, as well as an indication of the affiliation of the authors of the article who are participants in the supported project with RUDN. The wording of the reference to the support program, the allowable number of references to research support programs from other sources, the rules for registration of affiliation, as well as the allowable number of affiliations of each author of the publication are approved in the CD and the allowable number of authors in the publication.

8.3 Attracting external financing.

8.3.1 Only the funds received within the framework of the project realization from external sources to the RUDN settlement account can be considered as attraction of external financing of the project⁸.

8.3.2 External sources of funding include:

⁸ Funds allocated by departments as a result of internal university redistribution of funds received from external sources (such as the state subsidy "Priority-2030," government assignments, etc.), as well as funds from the main educational units (MEUs) directed toward the implementation of initiative R&D projects, are not taken into account.

a) Grants from Russian and foreign scientific foundations for R&D, provided that the grant was received (an agreement with the foundation was concluded and the money was transferred to the RUDN account) after the date of summarizing the results of the Competition⁹. The manager of the grant, indicated as attracting external funding for the project, must be a member of the research team, included in the research team before receiving this grant.

б) Contractual R&D performed within the framework of the Project implementation, provided that the contractual R&D should be concluded after the date of summarizing the results of the Competition⁹. The head of the contractual R&D indicated as attracting external funding for the Project must be a member of the research team, included in the research team prior to the conclusion of the contract. Additional conditions may be set for the contractual R&D carried out on the basis of the scientific infrastructure created as part of the implementation of the System's projects (Event U c.2.3.2).

в) Co-financing of a project attracted from an external partner (scientific/scientific educational organization, organization of the real sector of the economy) within the framework of a donation agreement or under other conditions that do not contradict the legislation of the Russian Federation.

г) Cash received as a result of commercialization of RIA created within the framework of the Project implementation.

8.3.3 Other sources of financing not specified in clause 8.3.2 are approved in the CD by the decision of the AC/SC/STC in coordination with the financial and legal services of RUDN.

8.4 Creation and registration of RIA.

8.4.1 In accordance with Article 1225 of the Civil Code of the Russian Federation, the list of RIAs accepted for consideration includes: inventions, utility models, industrial designs, computer programs, databases, integrated circuit topologies. The author of the intellectual property must be a member of the research team of the project who was included in the research team before the application for registration of the intellectual property was submitted.

8.4.2 The documents on RIA registration (if technically possible) should contain a reference to provide links to the support program, which provides funding for projects implemented following the results of the competition.

8.4.3 The created RIA should have a prospect for commercialization¹⁰.

8.5 Approbation of R&D results at international STE.

8.5.1 Approbation of R&D results at international STE can be carried out on the territory of the Russian Federation and abroad. The key condition for participation in

⁹ Funds credited to RUDN's account as a result of extensions of grants and contractual agreements obtained before the date of the Competition results announcement are not taken into account.

¹⁰ The availability of a guarantee from a potential customer (consumer / buyer) of products or a buyer of a RIA security document, guaranteeing obligations to purchase the specified products or a RIA security document within a specified period for a specified amount.

STE is the publication of the results of participation in a journal (conference proceedings) indexed in the WoS/Scopus database¹¹. A member of the research team, who was included in the research team before participation in the STE, should make a report at the STE.

8.5.2 The publication of the results of participation in the STE in the journal (conference proceedings) must comply with the requirements of c. 8.2.2.

8.6 Conducting a key STE in conjunction with the MEU

8.6.1 When conducting key STEs, the project manager should be indicated as the person responsible for conducting the STE, and members of the research team should be members of the STE organizing committee.

8.6.2 The key STE should be carried out with the co-financing of the MEU and/or the RU - base of the STE. The co-financing amounts are approved in the CD.

8.7 When implementing projects for competitions including the creation of a research team, the project manager is obliged to ensure compliance with the requirements for the composition of the research team in terms of the minimum number of researchers, researchers under the age of 39 including, students and postgraduates. The rules for accounting for the percentage of the staff of the specified categories of employees, as well as the rules for making changes to the composition of the research team are noted in the CD.

8.8 When implementing projects for competitions involving the receiving of academic degrees by project managers or members of research teams, it is allowed to take into account the fact of protection, provided with the conclusion of the dissertation council.

8.9 The CD may provide rules for the equivalent replacement of KPIs when submitting an application for a competition and/or conditions for carrying out this replacement during the project implementation process.

8.10. By decision of the SC/AC/STC, other (additional) changes may be made when announcing the competition and approving the CD KPIs and/or the rules for accounting for the quantitative values of the specified and additional KPIs have been clarified (changed).

8.11 Obligations to fulfill the KPIs specified in the project with its support under the terms of the competition are accepted by the MEU and/or RU, on the basis of which the project is being implemented, in excess of the plan established by the MEU and/or RU Program for the Integrated Development of the University.

8.12 In case of early termination of the project, the KPIs of this project are included in the plan of the MEU and/or RU on the basis of which it was implemented. In case of non-fulfillment of the KPIs based on the results of the project implementation, the decision to continue the project implementation, as well as to return part of the allocated funding from RUDN, in proportion to the non-fulfillment of

¹¹ Additional requirements for the quartile of a scientific publication may be established in the CD.

KPIs from the funds of the MEU on the basis of which the project is being implemented, is submitted to the SC «Priority 2030» for consideration.